JC03 Rec'd PCT

Approved for use through 3/31/2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 49288.0900

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/528,438

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/JP03/12040 INTERNATIONAL FILING DATE PRIORITY DATE 20 September 2002 19 September 2003 TITLE OF INVENTION INTERACTIVE APPARATUS 152843**8** APPLICANT(S) FOR DO/EO/US Shinichi Yamamoto, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). h has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🗆 An English language translation of the International Application as filed (35 U.S.C. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) L are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. XI An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🗌 13. A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). Other items or information: 20. 🖾

Inis collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. VA 22313-1450.

Copy of Notification of Missing Requirements; Return Postcard; Express Mail No. EL426461632US

PTO-1390 (Rev. 02-2005)

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•	U.S. APPLICAT	U.S. APPLICATION NO. ( if known, see 37 CFR INTERNATIONAL APPLICATION NO				ATTORNEY'S DOCKET NUMBER					
•	PCT/JP03/12040				2040	49288.0900					
	The following fees are submitted:					CALC	ULATIONS	PTO USE ONLY			
	21.						\$	\$0.00			
	22.   Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). \$100  All other situations. \$200							\$0.00			
	23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							\$0.00			
	TOTAL OF 21, 22 and 23 =							\$0.00			
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
	Total Sheets	Extra Sheets		of each additional 50 or ereof (round up to a who	le	RATE					
	- 100 =	0 /50 =		0		x \$250.00	\$	\$0.00			
	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$	\$130.00			
	CLAIMS	NUMBER	FILED	NUMBER EXTRA	ļ	RATE					
	Total claims		- 20 =	0	x	\$50.00	\$	\$0.00			
	Independent clai		- 3 =	0	x	\$200.00	\$	\$0.00			
	Multiple Depen	dent Claims (if a			+	\$360.00	\$	6120.00	· · · · · · · · · · · · · · · · · · ·		
	TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are							\$130.00			
	reduced by 1/2.							\$0.00	,		
	SUBTOTAL = \$ \$130.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  **TOTAL NATIONAL FEE = \$ \$130.00							\$130.00			
								\$130.00			
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +										
	TOTAL FEES ENCLOSED =							\$130.00			
	ЖАҮРАӨН 00000136 10528438 130.00 07						Amount to be refunded:		\$		
01 FC:1617							Amount to be charged:				
	a. 🗵 A check in the amount of to cover the above fees is enclosed.										
		charge my Deposi ate copy of this sh	to cover the above fees.								
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2814 . A duplicate copy of this sheet is enclosed.										
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
	SEND ALL CORRESPONDENCE TO:							1.	2 - 1		
	CUSTOMER NUMBER 20322						). Q	91.9	LOF .		
	Michael K. Kelly  Snell & Wilmer L.L.P.  SIGNATURE							, , -	<del></del>		
	One Arizona Center								<b>一</b>		
	400 E. Van Bui				_		Mich	ael K. Kelly			
	Phoenix, AZ 8 P: 602-382-629					NAME					
	F: 602-382-607							32,848			
					_	REGISTRAT					



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandris, Vinginia 22313-1450 www.unptu.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/528,438 Shinichi Yamamoto 49288.0900

RECEIVED

20322 SHS **SNELL & WILMER** ONE ARIZONA CENTER 400 EAST VAN BUREN PHOENIX, AZ 850040001

SEP 1 2 2005

SNELL & WILMER

INTERNATIONAL APPLICATION NO.

PCT/JP03/12040

I.A. FILING DATE PRIORITY DATE 09/19/2003

09/20/2002

**CONFIRMATION NO. 4301 371 FORMALITIES LETTER** \*OC00000016938131\* \*OC000000016938131\*

Date Mailed: 09/06/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/18/2005
- English Translation of the IA filed on 03/18/2005
- Copy of the International Search Report filed on 03/18/2005
- Information Disclosure Statements filed on 03/18/2005
- U.S. Basic National Fees filed on 03/18/2005
- Priority Documents filed on 03/18/2005
- Specification filed on 03/18/2005
- Claims filed on 03/18/2005
- Abstracts filed on 03/18/2005
- Drawings filed on 03/18/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

NOTED FOR RECEIPT

response due 11-6.05





Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/528,438	PCT/JP03/12040	49288.0900

FORM PCT/DO/EO/905 (371 Formalities Notice)